Expanding the Facilitator’s Toolbox: Vygotskian Mediation in Philosophy for Children

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Abstract

Philosophy for Children (P4C) is an educational program founded by Matthew Lipman and Ann Sharp in the 1970s to improve judgment in children by sharpening their critical, creative, and caring thinking skills. As children’s engagement in philosophical dialogue is an essential component of the program, the teacher has a facilitative, rather than an instructive, role. The goal of this paper is to provide new and experienced facilitators with conceptual tools for critically reflecting on and improving their facilitative practice. The paper first develops a Vygotskian interpretation of P4C facilitation, applying Vygotsky’s theory to P4C’s Community of Philosophical Inquiry structure and David Kennedy’s “toolbox of philosophical moves.” We contend that Vygotsky’s sociocultural theory provides a useful lens through which to view facilitation as assisted or mediated learning. The concept of facilitation as mediational assistance is then extended by drawing on Gallimore and Tharp’s neo-Vygotskian framework and their six means of assistance. Finally, we further extend these ideas by turning to Lakoff and Johnson’s theory of conceptual metaphor. Metaphors expand the “facilitator’s toolbox” by providing additional conceptual tools for facilitation as well as varied ways to conceptualize the facilitation process through a Vygotskian lens.

Keywords: Philosophy for Children, facilitation, community of philosophical inquiry, philosophical moves, Vygotsky, scaffolding, conceptual metaphor, cognitive structuring.

Philosophy for Children is an educational program founded by Matthew Lipman and Ann Sharp in the 1970s to improve judgment in children by sharpening their critical, creative, and caring thinking skills (Lipman, 1998, 2003; Lipman, Sharp, & Oscanyan, 1980; Splitter & Sharp, 1995). These skills are sharpened by engaging students in philosophical dialogues, during what the program calls a Community of Philosophical Inquiry (CPI), or in other philosophical activities and discussion plans (Gregory, 2008; Lipman, 1996b; Oyler, 2016). Lipman and Sharp theorized that dialogic philosophical engagement would encourage children (as young as kindergarteners) to think for themselves, think with others, and think well (Gregory, 2011; Kennedy, 2000).

As dialogue is an essential component of the CPI, the teacher has a facilitative rather than an instructive role (Lipman et al., 1980). The program has emphasized the importance of the teacher’s modeling of good critical reasoning for students. The teacher’s role has also been conceptualized as a Socratic questioner (Splitter & Sharp, 1995) and “co-inquirer” (Gregory, 2008). More recently, Kennedy (2013) has further explicated the facilitator’s role and introduced what he calls a “toolbox of philosophical moves.” These moves are a set of critical reasoning tools to be modeled by facilitators and identified as they occur in students’ on-going conversations. Ideally, as students internalize and
master the use of these moves, the facilitative role will be dispersed across the community and the students will eventually become capable of managing the dialogue on their own (Gregory, 2007; Kennedy, 2004; Oyler, 2016).

In this paper, we provide a Vygotskian perspective of facilitation within a Lipman-Sharp approach to Philosophy for Children (P4C). As a developmental psychologist, Lev Vygotsky studied the social and cultural origins of higher mental processes. In Vygotsky’s theory, cognitive development occurs as individuals learn the use of cultural tools, with the help of more skilled cultural members. In other words, for Vygotsky, development occurs through assisted or facilitated learning. As a result of this emphasis, Vygotsky’s theory can provide a valuable lens through which to view facilitation in P4C. Lipman (1996a), in his book, Natasha: Vygotskian Dialogues, addressed some connections between Vygotsky and P4C. However, Lipman did not focus specifically on Vygotsky’s relevance to facilitators. The goal of this paper is to provide a Vygotskian interpretation of P4C facilitation that expands and deepens our understanding of the facilitator’s role. We aim to provide P4C facilitators with conceptual tools for critical reflection as they strive to improve their facilitative practice. This may be especially helpful for new facilitators.

The paper is organized in two main sections. In the first section, we develop a Vygotskian interpretation of P4C facilitation. After an overview of Vygotsky’s sociocultural theory, we apply his key concepts to the Community of Philosophical Inquiry (CPI) and Kennedy’s philosophical moves. The concept of facilitation as mediational assistance is then extended by drawing on Gallimore and Tharp’s neo-Vygotskian framework and their six means of assistance. In the second section, we further extend these ideas by turning to Lakoff and Johnson’s theory of conceptual metaphor. Our purpose for including this work on metaphor is twofold. First, metaphors provide facilitators additional conceptual tools for assisting student learning from a Vygotskian perspective. Second, several metaphors for facilitation or assistance have emerged within neo-Vygotskian sociocultural theories that offer varied ways of understanding the process of facilitation itself. In both cases, metaphors can further expand the “facilitator’s toolbox.”

**Vygotsky’s Sociocultural Theory and P4C**

Vygotsky’s sociocultural theory provides a useful lens through which to view and deepen our understanding of P4C facilitation. In this section, we focus on three Vygotskian concepts: mediation, internalization, and the zone of proximal development. The idea of facilitation as mediational assistance is then extended through the neo-Vygotskian framework of Gallimore and Tharp (1990), who outlined six specific means of assistance. When applied to P4C, these theories can help facilitators better critically reflect on their practice and assist student learning within the community of philosophical inquiry.

**Vygotsky’s Sociocultural Theory of Development**

Lev Vygotsky, a Russian developmental psychologist from the early twentieth century, sought to understand human psychological processes in terms of their origins. Specifically, he studied how elementary mental processes (those we share with other animals) are transformed into more complex
higher mental functions (e.g., voluntary attention and memory, thinking and reasoning, self-regulation). According to Vygotsky (1978), this occurs through mediation involving the use of signs or psychological tools (e.g., language, number systems) produced within a culture. Whereas in a reflex, a stimulus directly triggers a response, with mediation a third entity (e.g., a tool or sign) transforms this relation and expands our capabilities. For example, counting on one’s fingers was an important cultural tool for extending quantitative perception. Signs mediate perception and action as physical tools mediate labor. However, rather than being directed outward (toward the world), signs are directed inward (toward ourselves). Other examples of cultural tools include memory strategies, diagrams, maps, and algebraic systems (Vygotsky, 1978; Wertsch, 1985).

Vygotsky (1978) argued signs allow for the voluntary control of behavior and mental processes. Internalization involves the internal production of a sign to mediate perception or action. In Vygotsky’s view, all higher mental functions begin as social processes. In his law of cultural development, the individual (internal) plane emerges from the social (external) plane: “Every function in the child’s cultural development appears twice: first, on the social level, and later, on the individual level” (Vygotsky, 1978, p. 57). For example, first we point things out to others, then later we are able to point things out to ourselves (the basis of voluntary attention). According to Vygotsky, thinking is transformed as language, thinking strategies, and other cultural tools are internalized—that is, they become internal and private, directed toward oneself, incorporated into existing mental processes, and regulated by the individual.

For Vygotsky (1978, 1986), speech played an essential role in the development of children’s thinking. Speech is first used to communicate with others—to express desires, make requests, and describe situations. It gradually comes to organize the individual’s perception and action. For young children, the primary function of speech is labeling, allowing them to focus on a specific object or aspect of their perceptual field. As Vygotsky (1978) described, through this “verbalized perception” they learn to perceive the world as objects with meaning: “The child begins to perceive the world not only through his eyes but also through his speech” (p. 32). Gradually speech becomes more planful. Children tend to speak as well as act when trying to reach a goal. At first, speech tends to follow or accompany action, but it eventually moves earlier in the process to precede action (in the form of a plan). Speech also shifts from being external to internal. Children first talk to others, then to themselves out loud, and finally to themselves internally (as inner thought or “private speech”). Whereas other developmental psychologists at the time viewed kids talking out loud to themselves negatively (for Piaget, this “egocentric speech” was a sign of immaturity), Vygotsky considered this an important step in the internalization process through which children develop the capacity to engage in dialogue with themselves. This emerging planning function of speech enables children to first master their environments and eventually regulate their own behavior (Vygotsky, 1978).

For Vygotsky, cognitive development is social in two ways: (a) it is mediated by sociocultural tools and (b) it occurs through social assistance (Wertsch, 1985). Vygotsky (1978) introduced the zone of proximal development (ZPD) to describe the relationship between assisted learning and development. He defined the ZPD as “the distance between the actual developmental level as determined by independent problem solving and the level of potential development as determined through problem solving under adult guidance or in collaboration with more capable peers”
With regard to instruction, the ZPD represents competencies not yet mastered but which the individual is able to perform effectively with assistance. In other words, it defines what an individual cannot do alone but can do with help. This is where instruction or assistance should be targeted, as it is where learning can lead development forward. For example, if students already know multiplication, exponents can be explained as the same number multiplied by itself X number of times. Eventually, children will be able to use this strategy themselves.

Development occurs as children participate in cultural activities just beyond their level of competence and learn how to use cultural tools with the assistance of more skilled adults or peers.

**Applying Vygotsky: P4C “Moves” and the CPI Structure**

A Vygotskian framework can be used to conceptualize effective facilitation within Philosophy for Children. Here we focus on interpreting Kennedy’s “philosophical moves” and the CPI through a Vygotskian lens. Kennedy (2013) has provided P4C facilitators and participants a set of tools for inquiry he calls “philosophical moves.” These moves are strategies for engaging in critical reasoning and philosophical dialogue. Specifically, Kennedy has identified the following philosophical moves: “asking a question”; “agreeing or disagreeing”; “giving a reason”; “offering a proposition, hypothesis or explanation”; “offering an example or counterexample”; “classifying/categorizing”; “making a comparison” (i.e., a connection, distinction, or analogy); “offering a definition”; “identifying an assumption”; “making an inference”; “making a conditional statement (‘if/then’); “reasoning syllogistically”; “self-correcting”; “restating”; and “entertaining different perspectives” (see Kennedy, 2013, for a description of each). According to Kennedy, these moves should be modeled by facilitators and identified as they occur naturally in conversation. As students learn to identify and use these moves, the facilitator’s role is reduced (ideally, for Kennedy, it disappears) and control is distributed across the group (Kennedy, 2004, 2013).

Through a Vygotskian lens, these moves serve as mediational tools that can be used first as category labels and then for planning and regulating social and internal processes. By identifying critical reasoning moves already spontaneously produced in students’ conversations, facilitators make them a focus of conscious attention. As facilitators model the use of these moves to guide discussion, they mediate inquiry on the “social plane.” Note that what is being facilitated here is the critical reasoning and dialogue processes, not their content; the P4C facilitator does not lead students toward any particular discussion topic, belief, or conclusion. As students begin (with the help of the facilitator and later their peers) to see the conversation in terms of the categorical framework provided by the moves, the facilitator can more easily direct students’ attention to aspects of group conversation and help them develop a greater understanding of the moves. Eventually, the moves will be more planned, as students call for various moves from others and, when internalized, from themselves.

In order to best support this process, facilitators should target students’ zones of proximal development. At first, assistance will be more explicit, as students need to have the moves identified or modeled and the facilitator may need to call for specific moves. With practice, students should be able to provide more help to each other and eventually themselves, so that the facilitator only needs to intervene when conversation “gets stuck” or when alternative moves could push the conversation to a deeper level. As students internalize the moves and increasingly regulate their own critical reasoning
and dialogue processes, they will need facilitation less and less, and control of the conversation will be increasingly distributed across the group. When this occurs, teachers should be ready to make two adjustments: (a) facilitation should be aimed at higher levels of skill and (b) the teacher’s role should shift from facilitator to co-inquirer.

According to Oyler’s (2016) analysis of the Lipman-Sharp approach to P4C, the CPI has the following parts: engaging with a stimulus, student-generated question, inquiry dialogue, and metacognitive reflection (we will not focus here on post-CPI activities). The stimulus is any philosophically charged experience from which students can develop questions. Lipman and Sharp wrote several novels designed for this role (e.g., Lipman, 1981), but alternative stimuli include picture books, short video clips, or even the classroom itself. The questions developed from the stimulus need to be philosophical in order to be useful for inquiry; they should be communal (appropriate for group discussion), central (meaningful to the group), and contestable (with more than one possible answer; Gregory, 2008). Examples of good inquiry questions include “Is it wrong to lie to your friend if you are trying to protect them?” and “Would it ever be just to kill someone?” As students engage in inquiry, the result is typically not a finished or correct answer. Rather, through inquiry, students aim to identify a “most reasonable thing to believe” or a hypothesis that must be tested or considered further (Lipman et al., 1980; Reznitskaya & Wilkinson, 2017). In the final metacognitive reflection, students assess their own thinking and group process: “Are we doing a good job listening to other participants? How can we improve our thinking in the future? Are we examining multiple perspectives?” (Lipman, 2003; Oyler, 2016). These questions are designed to encourage students’ mindfulness about their thinking and inquiry processes and hopefully also promote a self-corrective attitude (Gregory, 2008; Lipman, 2003). For example, if students indicate they are not doing a good job examining multiple perspectives, the facilitator can ask them how they could address this issue moving forward. Importantly, this leaves the responsibility with students to identify problems and implement solutions.

In Vygotskian terms, the CPI framework provides a cultural tool that mediates the social process of initiating and engaging in philosophical conversations. This structure allows participants to know how to ask and answer philosophical questions within a group. If later internalized, individuals have a framework for asking and answering questions on their own. For example, while at home watching a television show with a philosophically charged scene, they may develop their own questions, engage in internal inquiry (using the moves), and determine an answer that works for them. This aligns with the program’s goal of helping students think for themselves and think well.

The CPI also attempts to help students internalize metacognition as a mediation tool. Originally done as a group at the end of inquiry, the hope is that students will apply this metacognitive reflection to other experiences. For example, after writing a paper or taking a test, students could ask themselves, “Did I choose good strategies in writing that paper?” or “Did I prepare well for that test?” followed by “How could I improve in the future?” This can help students better plan, monitor, and control their thinking and learning processes (see Flavell, 1979; Nelson, 1996). In all these examples, facilitators provide conceptual tools, aimed at the ZPD, that assist students’ social interactions and eventually (when internalized) their internal “conversations” with themselves. To further develop a Vygotskian understanding of facilitation as mediational assistance, in the next section we turn to a neo-
Vygotskian framework developed by Ronald Gallimore and Roland Tharp.

**Extending Vygotsky: Gallimore and Tharp’s Means of Assisting Performance**

Gallimore and Tharp (1990; Tharp & Gallimore, 1988) introduced an approach to teaching literacy, based on Vygotsky’s ideas, in which teaching is viewed as assisting performance and literacy is developed through “instructional conversations.” They have challenged traditional conceptions of teaching as lecture and recitation, where teachers control the topics of discussion as well as the form and timing of student responses. In their instructional conversations, they weave together experiences and concepts, engaging learners in meaningful discourse that provides a context for assisting performance.

In this paper, Gallimore and Tharp’s work is important for its further development of methods for providing assistance. Of most relevance here, they outline six means (or forms) of assistance that integrate ideas from several psychological perspectives (e.g., behavioral, cognitive, social cognitive) within a broader neo-Vygotskian framework. These six means of assistance are *modeling, contingency management, feedback, instructing, questioning,* and *cognitive structuring.* In this educational model, learning is facilitated as assistance occurs within a student’s ZPD. As students eventually internalize these means of assistance, there is a shift from assisted (or other-regulated) to self-regulated performance. In what follows, each means of assistance is described and examples are offered that connect it to effective facilitation in P4C.

*Modeling* is the act of performing a behavior or task for the purpose of imitation. This can occur intentionally or unintentionally (Gallimore & Tharp, 1990). In P4C, Lipman’s novels model critical, creative, and caring thinking (De Marzio, 2017). The facilitator is also constantly modeling in the CPI. For example, during the question forming stage, if participants do not understand what kinds of questions are appropriate for philosophical conversation, the facilitator can provide examples. When a facilitator identifies or calls for a specific move, this models the use of that move. Metacognition can also be modeled by facilitators, as they lead discussions that evaluate the session and consider improvements. Facilitators should be careful not to model undesired behaviors (e.g., being upset or defensive when someone disagrees with your idea). As students develop their P4C moves, they can also serve as models for each other.

*Contingency management* is often described in terms of reinforcement and punishment. Teachers should align contingencies (i.e., consequences of behaviors) with their goals, such that desired behaviors are reinforced and undesired behaviors are not reinforced. In school, grades serve as important contingencies. Other potential reinforcers include praise, recognition, preferred activities, and opportunities for desired choice or participation. In the CPI, the most common reinforcers used in on-going conversations are praise and recognition. The P4C facilitator can praise or recognize students for appropriately identifying and using moves, asking good questions, or making good arguments. Although punishment (e.g., criticism) may occur, reinforcement is preferred in both the psychological and P4C literatures.
Feedback provides information on performance in relation to a standard or goal (Gallimore & Tharp, 1990). Common forms of feedback in school are grades, test corrections, rubrics, teacher comments, and peer evaluations. Within P4C, the CPI creates a context where students receive a constant stream of feedback, as they offer ideas or employ moves and observe the responses of others (e.g., Do others understand what I said? Did it move the conversation forward? Do others agree?). As students learn the characteristics of good critical reasoning and dialogue, along with the appropriate use of the P4C moves, these provide standards relative to which individuals can compare their performance and make improvements. For example, questions can be evaluated as to whether they are communal, central, and contestable (Gregory, 2008). All CPI participants can contribute group feedback during the metacognition stage.

In Gallimore and Tharp’s framework, instructing has the specific meaning of telling an individual what to do (i.e., how to think or behave). In the CPI, there is not a lot of direct instructing. The first few sessions may include instruction as to the kinds of questions that are useful for inquiry and, depending on the age range of the group, certain etiquette guidelines may be necessary and students' attention may occasionally need to be directed back to the appropriate task. However, in part, P4C distinguishes itself from traditional teaching in its emphasis on other forms of assistance. When instruction is used, it should be selected because it is determined to be the best form of assistance, not as a means for the facilitator (as expert or authority) to take control of the conversation or direct the group toward certain topics or conclusions.

Questioning has a distinct role as a means of assistance, in that it “provokes creations by the pupil” (Gallimore & Tharp, 1990, p. 181). This enables teachers and facilitators to assist learning in several important ways. First, it stimulates students’ thinking. Second, as students offer a linguistic response, it provides access to their thoughts, allowing facilitators to assess and further assist their thinking and understanding. Third, it models questioning, which can support its eventual internalization as self-questioning. Questioning has a central role in P4C. The CPI is based on complex questions like “What is a democracy?” or “What makes someone a good friend?” that stimulate critical, creative, and caring thinking. As conversations develop, there are further opportunities for the facilitator and students to ask questions to gain access to other participants’ thoughts. This provides a context for clarifying statements, generating alternative ideas, evaluating arguments, and promoting metacognitive reflection.

Finally, cognitive structuring involves providing a mental structure for understanding perceptions and experiences or structuring thoughts and actions (Gallimore & Tharp, 1990). Cognitive structures can include concepts, examples, unifying principles, conceptual frameworks, theories, philosophies, evaluative standards, procedures, and strategies. In P4C, students gain useful concepts from shared readings and conversations with each other. At select times, the facilitator may offer an example, concept, or strategy to advance the conversation. Venn diagrams can be introduced to help students understand relations between categories (Kennedy, 2013). The P4C moves and CPI structure also provide examples of cognitive structuring that can assist facilitators and students in planning and evaluating their critical reasoning and dialogue. The next section will further extend this idea of cognitive structuring via Lakoff and Johnson’s theory of conceptual metaphor.
Thus, Gallimore and Tharp’s six means of assistance offer facilitators a range of options to select from when assisting students. This “meta-framework” itself provides cognitive structuring for facilitators, as they engage in and metacognitively reflect on how they can improve their practice. Modeling is central to P4C, and many P4C contributors have stressed the modeling and identification of various moves in the CPI (De Marzio, 2017; Gregory, 2007, 2008; Kennedy, 2013; Lipman et al., 1980; Splitter & Sharp, 1995). Gallimore and Tharp’s framework can assist facilitators when considering how to combine modeling with other forms of assistance. If combined with questioning (i.e., asking the group what move was just used), this may help students later identify moves on their own (i.e., asking themselves, “what move was that?”). A facilitator could also provide or ask for feedback on the group’s use of the moves (e.g., “Did we disagree with any positions today? Did we ask for clarification when needed?”). As students use and identify moves, facilitators can manage contingencies by providing some encouraging praise or recognition (e.g., “good example”; “thank you for clarifying that point”). Moreover, when a group is struggling with part of the program, a little instruction (e.g., directing students to try a specific move) or cognitive structuring (e.g., offering an example) may help move the conversation forward without negatively impacting the overall collaborative nature of the group. By using varied means of assistance, the facilitator is also modeling their use, which means that the group may begin using them. Although none of these specific strategies may be new to P4C, this meta-framework can hopefully assist facilitators by cognitively structuring their thinking about their own performance, thus helping them better assist student learning.

Metaphors for Cognitive Structuring in P4C

To further develop a neo-Vygotskian approach to P4C facilitation, and extend the idea of assistance via cognitive structuring discussed above, this section draws on George Lakoff and Mark Johnson’s theory of conceptual metaphor. Although Lakoff and Johnson do not directly connect their ideas to Vygotsky’s work, we argue that metaphors can provide a further source of mediation within a Vygotskian conceptualization of facilitation that has relevance for P4C. Below, we first introduce key concepts from Lakoff and Johnson’s theory relating to the role of metaphor in human thought. Then, we illustrate the potential value of metaphors for cognitive structuring by offering examples of metaphors that could facilitate P4C inquiry, followed by examples of metaphors from neo-Vygotskian theories that are useful for conceptualizing the facilitation process itself. This combines ideas from the first author’s training and experiences as a P4C facilitator and the second author’s prior analysis of metaphors underlying sociocultural theories (Clark, 2005). Our goal is to provide facilitators additional conceptual tools to expand their “toolbox” and increase their capacity for critical reflection on the facilitation process.

Lakoff and Johnson’s Conceptual Metaphor Theory

Lakoff and Johnson (1999, 2003) have extensively studied the role of metaphor in human cognition. In traditional views, metaphor has been considered a linguistic device, a form of figurative language, useful for poetry and rhetoric but not central to everyday cognition and to be avoided by philosophers and scientists in search of precise definitions and literal truths. In contrast, Lakoff and Johnson have argued metaphor is common, ordinary, and fundamentally conceptual; metaphorical
language is often derivative of (and provides evidence for) this pervasive role of metaphor in human thought and understanding.

Conceptual metaphor theory is a central part of a broader embodied view of mind. Lakoff and Johnson (1999, 2003) have explored how many of our concepts and forms of reasoning have arisen, often through metaphor, from our sensorimotor experiences and ways of interacting in the world (e.g., object manipulation, orientation and motion in space). As defined by Lakoff and Johnson (2003), metaphor is the structuring of one experiential or conceptual domain in terms of another. This typically involves using a well-structured (often sensorimotor) source domain to understand and reason about a less-structured (often abstract) target domain. We are usually unaware of the metaphors that enable and constrain our conceptualization and reasoning processes. Lakoff and Johnson (2003) have referred to our most basic metaphors, those that structure our conventional ways of thinking, as “metaphors we live by.”

As an example, consider how discussions of arguments often reflect the language of war. We strive to defend our position, plan lines of attack, shoot down opposing ideas, and gain ground. According to Lakoff and Johnson (2003), this “argument is war” metaphor is more than a linguistic matter; we use not just the words but also the conceptual structure of war to think about arguments. An alternative (but also conventional) metaphor is “arguments are buildings” that can be well constructed, have strong support, or lack a firm foundation. Each metaphor partially structures the concept, highlighting some aspects of arguments but not others. Which metaphor we use influences how we think and act. Whereas the war metaphor draws attention to oppositional aspects, the building metaphor emphasizes the importance of foundational premises and evidentiary support. Those engaged in argument as war are likely aiming to win. In contrast, for those viewing arguments as buildings, the goal is likely to strengthen their support (e.g., to prevent a shaky argument’s collapse). When faced with objections, the former may defend their position, even deploying strategies that seem irrational (e.g., refusing to accommodate reasonable objections, constructing a fake “reality” in an attempt to remain “correct”), whereas the latter may welcome feedback on any structural defects in the argument.

Another common metaphor in Western cultures is “time is a resource” and the more specific variation “time is money.” We use resources and money (as source domains) to structure our thinking about time (the target domain). We talk of saving, wasting, and running out of time (reflecting resources generally) and spending, budgeting, and investing time (reflecting money more specifically). To use Vygotskian terms, the resource and money domains mediate our understanding of time by helping to structure this more abstract concept. We also conceptualize time in terms of motion in space. Events such as deadlines can approach or pass us by (if time is moving relative to us) or we can look ahead to upcoming events we are fast approaching (if we are moving relative to a time landscape). As with the argument metaphors, each metaphor partially structures time. Time as a resource or money highlights its value and limited status, whereas time as motion in space emphasizes our changing temporal relations to events. There is no “true” or “right” metaphor that “captures” all of the concept’s available meaning. To fully understand a domain, we must rely on multiple metaphors, each emphasizing different aspects (Lakoff & Johnson, 1999, 2003).
Conventional metaphors are typically used automatically without effort, and we are usually unaware of their role in our thought processes. However, if we become aware of the metaphors we use (i.e., if we develop reflexivity), we can assess their strengths and limitations and use them more intentionally and self-critically. In addition, new metaphors (or new extensions of existing metaphors) can create new understanding (Lakoff & Johnson, 1999, 2003). For metaphors, the relevant question is not whether they are true or false but if they are appropriate or inappropriate for use in a given situation. Moreover, considering multiple metaphors should be encouraged. Even if one metaphor fits our experiences or the evidence well, an alternative perspective could still be valuable in highlighting different aspects of the situation.

Facilitating with Metaphors

Integrating Lakoff and Johnson’s ideas into the neo-Vygotskian framework of facilitation as assistance developed in the previous section, conceptual metaphor provides another tool for facilitating learning through cognitive structuring. This section outlines two metaphors implemented in the first author’s experiences with P4C. For each, we explicate the metaphor and describe how it could help facilitators see aspects of the CPI they might otherwise have missed. These metaphors are merely offered as examples of possible ways to conceptualize aspects of the program. The list is far from exhaustive and identifying additional useful metaphors could be one potential avenue for future projects. Our goal here is to illustrate the potential role of metaphor within a neo-Vygotskian interpretation of facilitation.

The first metaphor, arguments are houses, assumes that arguments have parts: the claim or hypothesis, the premises or reasons, and the assumptions or grounding on which those premises rely. The claim represents the roof of the house, which needs to be supported by walls (i.e., premises or reasons). A typical reason will assume something, which means it is grounded in prior beliefs or knowledge claims. This grounding is the house’s foundation. Just as a house’s weak foundation or walls could threaten the roof, weaknesses in (or objections to) the underlying assumptions or supporting reasons, if not addressed, could cause a shaky argument to collapse.

This “arguments are houses” metaphor can be useful in helping facilitators and participants construct and critique arguments. If a student presents a hypothesis (i.e., a roof) without providing reasons (i.e., walls) to support it, then the facilitator can call for those reasons. Eventually, this way of seeing arguments, as well as this move, will be internalized by the participants and the facilitator will no longer need to call for other features of the house/argument. Objections are easier to understand through the house metaphor as well. For example, if an objection is presented, students may assume a claim is indefensible. However, if the facilitator can help them identify and amend the weak feature, they may see that the claim is still defensible. This metaphor highlights that knowledge construction is an active process and there is often value in collaborative efforts. Variations on this metaphor are found in Reznitskaya and Wilkinson (2017). Note that it is also consistent with the “arguments are buildings” metaphor identified by Lakoff and Johnson (2003).

A second metaphor, communities are organisms, highlights that a classroom community such as the CPI takes time to develop, just as an organism takes time to grow. Also, like an organism, a
community is comprised of interrelated parts that must work together for the successful functioning of the whole. Kennedy’s (1994, 2004) ideas align with this metaphor, when he has argued good participants notice where and when they are most needed in the group conversation. Contributions that distract from the current discussion thread might need to be withheld, a personal sacrifice for the benefit of the larger community. A community also has certain needs for the parts to function well, and, just as different organisms have similar needs (e.g., nutrients, shelter), many of the community members’ needs (e.g., being listened to and respected) are shared across communities.

The “communities are organisms” metaphor emphasizes that all parts make an important contribution to and must work together for the effective functioning of the whole. Thus, this metaphor implies the community must care for and value the contributions of each individual. This can help facilitators emphasize the importance of caring thinking. When a participant who rarely contributes attempts to do so, the facilitator may intervene to ensure this participant gets an opportunity to be heard. In addition to other common caring behaviors, such as using the participants’ names or preventing interruptions, a facilitator cares for the group by keeping them focused on their goals. This includes the goal of finding the most reasonable answer, as well as other goals set by the group such as improved participation or better listening. In sum, thinking of communities as organisms, or arguments as houses, may enable facilitators and participants to think in new ways about critical reasoning and dialogue in the CPI.

Metaphors of Facilitation

Vygotsky and other sociocultural theories align well with P4C because of the central importance they place on processes of facilitation or assistance. In this section, we briefly describe three metaphors of facilitation consistent with a Vygotskian perspective, emphasizing what aspects of assistance each metaphor highlights. As discussed above, multiple metaphors expand our understanding of a conceptual domain. These metaphors provide different ways for P4C facilitators to understand their practice, enabling greater critical reflection on how they can best support and guide their students in developing philosophical competencies.

Scaffolding. One of the most common metaphors used in sociocultural (neo-Vygotskian) approaches, especially in the educational and developmental literatures, is assistance as scaffolding. Vygotsky did not actually introduce the concept of scaffolding; its first use is typically credited to Wood, Bruner, and Ross (1976). Nevertheless, this metaphor has become closely associated with a sociocultural approach due to its fit with Vygotsky’s ideas. In this scaffolding metaphor, competencies are viewed as constructed objects and learning and development as processes of construction. In the source domain of building construction, scaffolding provides assistance. It is erected as needed for construction but then is removed when no longer needed. More specifically, Greenfield (1984) identified five features of scaffolding drawn from construction: “it provides a support; it functions as a tool; it extends the range of the worker; it allows the worker to accomplish a task not otherwise possible; and it is used selectively to aid the worker where needed” (p. 118). With scaffolding, builders can build, and learners can learn, what would otherwise not be possible without the temporary assistance.
The strength of the scaffolding metaphor is specifically this focus on the role of assistance in learning and development. Rather than taking a teacher-centered perspective, this metaphor focuses on facilitated learning. It can help P4C facilitators focus their attention on the ZPD and the what, when, and how of assistance. What should facilitators assist? Assist students with aspects of philosophical conversations and thinking (process, not content) they cannot yet do alone but can do with help. When should facilitators assist? Assist students until help is no longer needed, or adjust help to match a student’s expanding ZPD (the facilitator’s role should fade or even disappear as students internalize these processes). Finally, how should facilitators assist? Assist students in specific ways (matched to their individual needs) that help them advance toward greater mastery (as they internalize these forms of assistance). Gallimore and Tharp’s means of assistance and the P4C moves provide many scaffolding options to consider.

Guided participation. A second facilitation metaphor comes from the work of Barbara Rogoff (1990, 2003), who extended Vygotsky’s approach based on her own cross-cultural developmental research (e.g., in Mayan communities of Guatemala). Rogoff has conceptualized assistance as guided participation, where skilled cultural members guide children’s participation in culturally valued practices. This concept is based on viewing activities and development metaphorically as paths, with action as movement, goals (i.e., culturally-defined competencies) as destinations, and paths as ways of achieving them. However, Rogoff adds social elements to this path schema, in that the goals and paths are culturally defined and there are others with us on the path to guide us. Guidance involves providing direction (i.e., pointing individuals toward certain goals and away from others) and support (i.e., helping individuals move along the path). It can also involve “building bridges” to help individuals overcome obstacles as they move toward more advanced states (as locations), from incompetence to competence, from personal to shared meaning, and from other-assisted to self-assisted action. As individuals develop, those guiding should transfer responsibility, so that the individuals being guided have increasing control over their actions (this is equivalent to removing the scaffolding when no longer needed).

Guided participation, like scaffolding, highlights assistance (now framed as guidance). In P4C, facilitators can guide (direct and support) student participation in philosophical thinking and dialogue using the means of assistance and the P4C moves. However, this metaphor also directs attention to a couple additional elements. One criticism of the scaffolding metaphor is that it emphasizes the role of the adult (or more skilled other); the child (or one being assisted) is viewed passively (Rogoff, 1990). The guided participation metaphor gives the child a more active role in moving along developmental paths and in eliciting guidance. Applied to P4C, students and facilitators are active agents who interact with and influence each other. Facilitators should consider what interests and ideas students bring to the group and respond to how students engage with materials and others in the classroom. This requires flexibility in selecting means of assistance that respond to students’ proposed ideas in on-going activity. As students get sidetracked or encounter obstacles (e.g., “get stuck” over a definition), facilitators can help students move forward (e.g., identify the problem, consider alternative options). Students can also be encouraged to elicit guidance (e.g., modeling, feedback, cognitive structuring) from facilitators and peers. Finally, guided participation makes goals and students’ progress toward them more salient. In P4C, the goal state is not a particular conclusion but good critical reasoning. Facilitators can help the group clarify its goals (e.g., finding “the most reasonable
answer”), track its progress, and understand why certain thinking and conversation practices are valued in P4C “culture.”

Apprenticeship. A final metaphor for facilitation is apprenticeship. Using apprenticeship as a metaphor for cognitive development, Rogoff (1990) described children as “apprentices in thinking, active in their efforts to learn from observing and participating with peers and more skilled members of their society, developing skills to handle culturally defined problems with available tools, and building from these gives to construct new solutions within the context of sociocultural activity” (p. 7). Rogoff (1991) offered four reasons why apprenticeship is an attractive metaphor: (a) apprentices and children actively observe, gather information about, and participate in activities around them; (b) their learning is structured by practices developed by older generations that involve the use of cultural tools to meet socially valued goals; (c) they are assisted through communication and involvement with more skilled partners (i.e., guided participation); and (d) they typically learn with others, both skilled practitioners and peers. Apprentices often develop considerable skills without traditional forms of instruction or examination. Learning is usually not defined in terms of teaching; the “curriculum” is not a detailed instructional plan but a set of opportunities for observation and participation in ongoing practice. Presented with the activity as a meaningful whole (rather than as decomposed parts), apprentices are usually motivated to develop competence in and contribute to valued practices (Lave & Wenger, 1991; Rogoff, 1990, 1991).

We believe these characteristics fit P4C well and highlight the importance of both facilitation and collaboration. Apprenticeships provide contexts for scaffolding and guided participation. In P4C, this highlights the importance of creating contexts (e.g., choosing stories and topics) that will engage students in meaningful conversations, where they can observe and participate with more skilled others who can provide scaffolding or guidance. Apprenticeship also emphasizes learning to use tools. In P4C, this involves developing competency in using the CPI structure and P4C moves. In Vygotsky’s terms, students first observe tool use in others, then use tools in conversations with others, and finally internalize this tool use to structure their own philosophical thinking (as internal philosophical conversations). As a final parallel between apprenticeships and P4C, apprentices often learn with peers, who can provide another source of guidance. In P4C, peers can assist each other as they engage in collaborative activity.

Conclusion

The central aim of this paper was to present a set of conceptual tools that could facilitate facilitation, that could provide scaffolding for the development of a broader and deeper understanding of the facilitation process. We pay tribute to the impactful work of Matthew Lipman, Ann Sharp, and David Kennedy in P4C. Through interpreting their key ideas, especially the Community of Philosophical Inquiry structure and Kennedy’s philosophical moves, through the lens of Vygotskian theory (supplemented by ideas from Gallimore and Tharp, Lakoff and Johnson, and Rogoff), our intent has been to provide useful conceptual tools for supporting philosophical conversations and students’ development of critical, creative, and caring thinking.

As authors, although we have brought different academic backgrounds and experiences to this project, we share interests in philosophy, education, cognitive development, and effective thinking.
We also share a concern with the lack of critical reasoning, deep understanding, and respectful dialogue we see in our society today and a belief in the importance of helping others (and ourselves) think collaboratively, think independently, and think well. Although we have discussed facilitation in the context of Philosophy for Children, we believe these theories and concepts are useful wherever effective thinking, dialogue, and collaboration can be guided and supported. As teachers, parents, neighbors, and citizens, we can use these same tools in promoting critical, creative, and caring thinking—in the classroom, at home, and in our communities. Today, more than ever, we are in need of thoughtful citizens who are able to join together with others in dialogue to explore deeply the challenges we face within our communities and broader society. In fact, our democracy may depend on it.

References


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